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| <b>TRANSMITTAL FORM</b><br>(to be used for all correspondence after initial filing) | Application Number     | 10/084,291        |
|   | Filing Date            | February 27, 2002 |
|   | First Named Inventor   | Carson            |
|   | Group Art Unit         | 3764              |
|   | Examiner Name          |                   |
| Total Number of Pages in This Submission  | Attorney Docket Number | 50642/270979      |

|   |   |   |
|---|---|---|
| ENCLOSURES (check all that apply)   |   |   |
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| Remarks   |   |   |

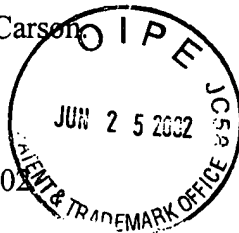
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| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |   |
| Firm or Individual name                    | James L. Ewing, IV, Reg. No. 30,630<br>Kilpatrick Stockton, LLP |
| Signature                                  | <i>James L. Ewing</i>   |
| Date                                       | June 17, 2002   |

|  |                      |
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| CERTIFICATE OF MAILING   |                      |
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: June 17, 2002 |                      |
| Typed or printed name  | Maggie Gately        |
| Signature  | <i>Maggie Gately</i> |
|  | June 17, 2002        |

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Christopher P. Carson )  
Serial No.: 10/084,291 )  
Filed: February 27, 2002 )  
For: SURGICAL NAVIGATION SYSTEMS )  
AND PROCESSES FOR HIGH )  
TIBIAL OSTEOTOMY )



Group Art Unit: 3764

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Commissioner for Patents  
Washington, D.C. 20231

Attorney Docket No. 50642/270979  
Date: June 17, 2002

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicants identify the documents listed on the modified Form PTO 1449 accompanying this submission. Copies of the cited documents are enclosed.

Remarks

Applicants do not concede that the identified materials constitute prior art within the meaning of the United States patent laws.

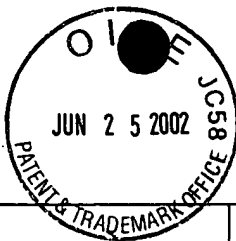
Applicants file this paper pursuant to 37 C.F.R. 1.97(b)(3), before issuance of a first Office action on the merits and, therefore, believe that no fee is due in connection with this filing. However, the Commissioner is authorized to debit Deposit Account No. 11-0855 for any such fee due should Applicants be mistaken.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "James L. Ewing, IV".

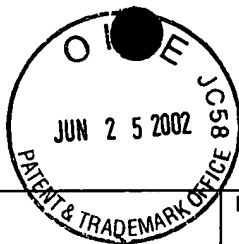
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|   |  |                                   |          |                               |       |          |             |
|---|--|-----------------------------------|----------|-------------------------------|-------|----------|-------------|
| Form PTO-1449<br><br>INFORMATION DISCLOSURE<br>CITATION<br>IN AN APPLICATION<br>(Use several sheets if necessary)   |  | Docket No.:<br>50642/270979       |          | Application No.<br>10/084,291 |       |          |             |
|   |  | Applicant:<br>C. Carson           |          |                               |       |          |             |
|   |  | Filing Date:<br>February 27, 2002 |          | Group Art Unit<br>3764        |       |          |             |
| <b>U.S. PATENT DOCUMENTS</b>  |  |                                   |          |                               |       |          |             |
| Examiner<br>Initial   |  | Document Number                   | Date     | Name                          | Class | Subclass | Translation |
|   |  | 5,383,454                         | 01/24/95 | Bucholz                       |       |          |             |
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| Examiner:   |  |                                   |          | Date Considered:              |       |          |             |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant. |  |                                   |          |                               |       |          |             |

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| Form PTO-1449<br><br>INFORMATION DISCLOSURE<br>CITATION<br>IN AN APPLICATION<br>(Use several sheets if necessary)   | Docket No.:<br>50642/270979       |   | Application No.<br>10/084,299 |                  |       |          |             |
|   | Applicant:<br>C. Carson           |   |                               |                  |       |          |             |
|   | Filing Date:<br>February 27, 2002 |   | Group Art Unit<br>3764        |                  |       |          |             |
| <b>NON-U.S. PATENT DOCUMENTS</b>  |                                   |   |                               |                  |       |          |             |
| Examiner<br>Initial   |                                   | Document Number   | Date                          | Country          | Class | Subclass | Translation |
|   |                                   | WO 97/23172   | 07/03/97                      | PCT              |       |          |             |
|   |                                   | WO 99/60939   | 12/02/99                      | PCT              |       |          |             |
|   |                                   | WO 00/47103   | 08/17/00                      | PCT              |       |          |             |
| <b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>   |                                   |   |                               |                  |       |          |             |
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|   |                                   | Ellis et al., "A surgical planning and guidance system for high tibial osteotomy," <i>Journal of Computer-Assisted Surgery</i> Vol. 4(5) pp. 264-274,<br><a href="http://www.cs.queensu.ca/home/ellis/research/pdf/1999-jcas.pdf">www.cs.queensu.ca/home/ellis/research/pdf/1999-jcas.pdf</a> . |                               |                  |       |          |             |
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|   |                                   | Munoz et al., "Computer assisted planning of high tibial osteotomy for the treatment of knee osteoarthritis," <a href="http://www.utc.fr/esb/esb98/abs.htm/570.html">www.utc.fr/esb/esb98/abs.htm/570.html</a>  |                               |                  |       |          |             |
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| Examiner:   |                                   |   |                               | Date Considered: |       |          |             |
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